

2875



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/075,488

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

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TRANSMITTAL SHEET

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 15th day of August, 2003.

By: [Signature]
Brian N. Tufte

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement, Form PTO-1449 and 18 cited references.
- ☒ Certification for Information Disclosure Statement.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

[Signature]
Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



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CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,
on this 15th day of August, 2003.

By: [Signature]
Brian N. Tufte

Dear Sir/Madam:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

Dated: August 15, 2003

[Signature]
Brian N. Tufte, Reg. No. 38,638
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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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By: Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

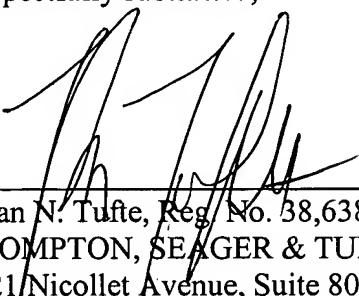
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached

Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Dated:

August 15, 2003



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FORM PTO-1449

Atty. Docket No.:
1076.1101107Serial No.:
10/075,488LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Brian N. Tufte

Filing Date:
February 12, 2002Group Art:
2875

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|---------|-------------------------|-------|--------------|-------------------------------|
| | 2,339,085 | 01/1944 | Luckiesh, Matthew | | | |
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| | 3,675,527 | 07/1972 | Reeder, Jr., George, H. | | | |
| | 4,955,044 | 09/1990 | Amstutz et al. | | | |
| | 5,469,342 | 11/1995 | Chien, Tseng L. | | | |
| | 5,475,574 | 12/1995 | Chien, Tseng-Lu | | | |
| | 5,566,384 | 10/1996 | Chien | | | |
| | 5,570,945 | 11/1996 | Chien et al. | | | |
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| | 5,871,269 | 02/1999 | Fjaestad et al. | | | |
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| | 6,082,867 | 07/2000 | Chien, Tseng-Lu | | | |
| | 6,179,431 | 01/2001 | Chien, Tseng-Lu | | | |
| | 6,270,229 | 08/2001 | Chien, Tseng-Lu | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.